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## Validation of the CLARA-A3 top-of-atmosphere radiative fluxes climate data record --Manuscript Draft--

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<b>Abstract:</b>	<p>The third edition of the CMSAF cCloud, Albedo and surface Radiation dataset from AVHRR data (CLARA-A3) features for the first time top-of-atmosphere products Reflected Solar Flux (RSF) and Outgoing Longwave Radiation (OLR), which are presented and validated in this paper on their full time span (1979-2020), using CERES, HIRS, and ERA5 products as reference data. The RSF data record is relatively stable as its bias w.r.t. ERA5 remains within +/-2Wm<sup>-2</sup> for most of the time. Deviations are predominantly caused by the absence of either a morning satellite or an afternoon satellite, which occurs mostly in the first decade of the record. The radiative impact of the Pinatubo volcanic eruption is estimated at 3Wm<sup>-2</sup>. The RSF processing error (regional uncertainty) correlates with the number of available satellites and their local observation time (i.e., orbital configuration), which is most optimal during 2002-2016 and results in a monthly Mean Absolute Bias (MAB) w.r.t. CERES of around 2Wm<sup>-2</sup> (daily MAB of 5Wm<sup>-2</sup>). The OLR data record is found relatively stable w.r.t. both ERA5 and HIRS, except for the first two years. The OLR processing error is quantified with a daily (monthly) MAB of around 1.5 (3.5) Wm<sup>-2</sup> during 2000-2020. It is less sensitive to orbital configuration compared to RSF, but especially for the daily MAB there is still a lower performance (MAB +40%) during periods with only morning or only afternoon observations (1979-1987). Overall, validation results are satisfactory for this first release of TOA flux products in the CLARA-A product portfolio.</p> <p>p { margin-bottom: 0.25cm; line-height: 115%; background: transparent }p.western { font-size: 12pt</p>
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Brussels, May 5th, 2023

**Subject: submission of article to the Journal of Atmospheric and Oceanic Technology**

Dear Editor,

I gladly present our draft article titled "*Validation of the CLARA-A3 top-of-atmosphere radiative fluxes climate data record*" for submission to the Journal of Atmospheric and Oceanic Technology (JTECH). The manuscript has not been previously published and is not currently under consideration by another journal.

The manuscript contains a presentation and thorough validation of the top-of-atmosphere radiative fluxes from the recently released CLARA-A3 data record, which bundles several products retrieved from the long-running AVHRR instrument record between 1979 and present.

The data record is developed by the EUMETSAT Climate Monitoring Satellite Application Facility (CMSAF) and is rigorously tested and validated before its release, like all CM SAF products. It was reviewed at several milestones in its development phase (requirements review, product consolidation review, delivery readiness review,..) with input from external independent reviewers. The documents generated during this process are published and available on the DOI landing page of the data record ([https://doi.org/10.5676/EUM\\_SAF\\_CM/CLARA\\_AVHRR/V003](https://doi.org/10.5676/EUM_SAF_CM/CLARA_AVHRR/V003)). The "*CLARA-A3 Top-of-Atmosphere Radiation Validation Report*" on above mentioned web page ([https://www.cmsaf.eu/SharedDocs/Literatur/document/2023/saf\\_cm\\_rmib\\_val\\_gac\\_toa\\_1\\_1\\_pdf](https://www.cmsaf.eu/SharedDocs/Literatur/document/2023/saf_cm_rmib_val_gac_toa_1_1_pdf)) was used as basis to compile the submitted manuscript, which also explicitly refers to this document. During their review, it could be helpful for the reviewers to have this full validation report at hand, which contains much more details, is illustrated with more examples, and is written in a more elaborate style compared to the more concisely written submitted manuscript.

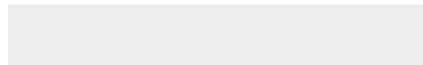
Yours sincerely,

The authors,  
Tom Akkermans and Nicolas Clerbaux



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1 **Validation of the CLARA-A3 top-of-atmosphere radiative fluxes climate**  
2 **data record**

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6 ABSTRACT: The third edition of the CMSAF cCloud, Albedo and surface RAdiation dataset from  
7 AVHRR data (CLARA-A3) features for the first time top-of-atmosphere products Reflected Solar  
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11 most of the time. Deviations are predominantly caused by the absence of either a morning satellite  
12 or an afternoon satellite, which occurs mostly in the first decade of the record. The radiative impact  
13 of the Pinatubo volcanic eruption is estimated at  $3 \text{ Wm}^{-2}$ . The RSF processing error (regional  
14 uncertainty) correlates with the number of available satellites and their local observation time (i.e.,  
15 orbital configuration), which is most optimal during 2002-2016 and results in a monthly Mean  
16 Absolute Bias (MAB) w.r.t. CERES of around  $2 \text{ Wm}^{-2}$  (daily MAB of  $5 \text{ Wm}^{-2}$ ). The OLR data  
17 record is found relatively stable w.r.t. both ERA5 and HIRS, except for the first two years. The  
18 OLR processing error is quantified with a daily (monthly) MAB of around 1.5 (3.5)  $\text{Wm}^{-2}$  during  
19 2000-2020. It is less sensitive to orbital configuration compared to RSF, but especially for the  
20 daily MAB there is still a lower performance (MAB +40%) during periods with only morning or  
21 only afternoon observations (1979-1987). Overall, validation results are satisfactory for this first  
22 release of TOA flux products in the CLARA-A product portfolio.

## 23 1. Introduction

24 Broadband top-of-atmosphere (TOA) Outgoing Longwave Radiation (OLR) and Reflected Solar  
25 Flux (RSF) are essential climate variables of which high-quality data records of satellite measure-  
26 ments with sufficient length (“Climate Data Record” or CDR) are needed by, among others, the  
27 climate modeling and climate monitoring communities, preferably spanning several decades.

28 To this end, three main approaches have been proposed and implemented: A first approach  
29 consists in dedicated ERB missions with broadband (BB) radiometers providing integrated obser-  
30 vations of the radiation over large parts of the electromagnetic spectrum: “shortwave” ( $0.3\text{--}4\mu\text{m}$ )  
31 and “longwave” ( $4\text{--}50\mu\text{m}$ ). A second approach consists in radiative transfer calculations based on  
32 cloud observations and atmospheric reanalysis. As a third approach, a so-called narrowband-to-  
33 broadband conversion can be used to directly estimate broadband TOA radiation from narrowband  
34 weather satellite observations taken at different wavelengths in the spectrum (visible and infrared).

35 Using this third approach, new RSF and OLR data records are generated as part of the third edition  
36 of the CM SAF Cloud, Albedo And Surface Radiation dataset from AVHRR data (CLARA-A3,  
37 Karlsson et al. (2023a,b)), featuring a fine spatial resolution ( $0.25^\circ \times 0.25^\circ$ ) and long time span  
38 (42 years). The first and second CLARA editions were described by Karlsson et al. (2013)  
39 and Karlsson et al. (2017), respectively, and did not yet include TOA radiative fluxes. The  
40 newly generated RSF and OLR data record’s retrievals and processing chains are documented by  
41 Akkermans and Clerbaux (2021) for the RSF and Clerbaux et al. (2020) for the OLR, each also  
42 including a preliminary validation on a limited amount of generated data.

43 This paper presents and validates the RSF and OLR data records on their full time span (1979-  
44 2020). This is done primarily by comparing with reference data records of proven quality and  
45 accuracy, but with shorter time span and/or coarser spatial resolution. Section 2 provides an  
46 overview of the different reference data records used for intercomparison. Section 3 describes the  
47 validation method, including the terminology, the applied statistical metrics, the data visualisation,  
48 and the temporally varying orbital configuration of the satellite constellation used to derive the  
49 CLARA-A3 data record. The validation results are presented and discussed in Sections 4 (RSF)  
50 and 5 (OLR), each describing the stability as well as the regional uncertainty of the data record.  
51 This is followed by Section 6 which provides a spatial view on the validation. Section 7 summarizes  
52 and concludes the paper, and offers an outlook for further research.

## 53 **2. Reference data records used in the validation**

### 54 *a. CERES SYN1deg Ed.4.1 (daily and monthly)*

55 The Clouds and Earth's Radiant Energy System (CERES, Wielicki et al. (1996)) product  
56 SYN1deg Ed4.1 provides estimates of the daily and monthly mean RSF and OLR fluxes from  
57 March 2000 onward at a  $1^\circ \times 1^\circ$  lat-lon resolution. The products consist of CERES-observed (i.e.  
58 real broadband measurement), geostationary enhanced and temporally interpolated TOA radiative  
59 fluxes. Given the sun-synchronous orbits of the CERES instruments onboard the Aqua and Terra  
60 satellites, the observations are performed only twice a day. Therefore, hourly TOA fluxes and  
61 cloud properties from five contiguous geostationary imagers, covering  $60^\circ\text{S}$ – $60^\circ\text{N}$  at any given  
62 time, are used for an improved modelling of the diurnal variability between the CERES observa-  
63 tions (Doelling et al. 2013). While the SYN1deg approach provides improved diurnal coverage by  
64 merging CERES and 1-hourly geostationary (GEO) data, artifacts in the GEO imager visible bands  
65 over certain regions and time periods can introduce larger regional uncertainties. Spurious jumps  
66 in the SW TOA flux record can occur when GEO satellites are replaced, because of changes in  
67 satellite position, calibration, visible sensor spectral response, cloud retrieval quality, and imaging  
68 schedules. Such artifacts in the GEO data can be problematic in studies of TOA radiation interan-  
69 nual variability and/or trends (Loeb et al. 2018). The issue does not play a role in the longwave  
70 product, given the general stability of GEO infrared bands due to onboard blackbody sources for  
71 calibration. In practice, CERES SYN1deg is still the best reference data record to validate daily  
72 TOA fluxes. It is used for the validation of daily and monthly global mean fluxes, from which the  
73 temporal variability determines the stability of the data record. It is used as well for the validation  
74 of processing error, containing the remaining random and systematic errors, which is performed at  
75 grid box level and therefore considered a validation of spatial patterns (also referred to as regional  
76 uncertainty), for which the SYN1deg product is suitable given its high spatiotemporal resolution  
77 (combination of GEO data). The largest disadvantage is the record's time span which is limited  
78 to 2000-2020, a period which is therefore referred to as the 'CERES era', in contrast to the period  
79 1979-1999 ('pre-CERES era'). The data is downloaded from the 'CERES Ordering Tool' web  
80 portal (<https://ceres-tool.larc.nasa.gov/ord-tool/>). CERES products based on Terra  
81 and/or Aqua satellites suffer from data gaps in certain periods. As a consequence, three months

82 are not used for validation purposes (August 2000, June 2001, March 2002). The impact of gaps  
83 after July 2002 is lower because since then the CERES products are composed of both Terra and  
84 Aqua satellite orbits.

85 *b. CERES EBAF Ed.4.1 (monthly)*

86 The CERES Energy Balanced and Filled (EBAF) Ed4.1 data record (Loeb et al. 2018) provides  
87 state-of-the-art estimates of monthly mean RSF and OLR fluxes from March 2000 onward at a  
88  $1^\circ \times 1^\circ$  lat-lon resolution. The longwave monthly mean EBAF product is computed directly from  
89 SYN1deg daily mean product, given the above mentioned stability of the GEO imager infrared  
90 bands. For the shortwave (SW) TOA fluxes, to maintain the diurnal information found in SYN1deg,  
91 but also preserve the excellent CERES instrument calibration stability (at their sun-synchronous  
92 observation times), the EBAF product introduced a new approach involving diurnal correction  
93 ratios (DCRs) to convert daily regional mean SSF1deg SW fluxes into diurnally complete values,  
94 analogous to SYN1deg but without geostationary artifacts (Loeb et al. 2018). Furthermore, even  
95 with the most recent CERES Ed4 instrument calibration improvements, the SYN1deg Ed4 net  
96 imbalance is still about  $4.3 \text{ Wm}^{-2}$ , much larger than the expected observed ocean heating rate of  
97 about  $0.71 \text{ Wm}^{-2}$  (Johnson et al. 2016). Therefore, the CERES EBAF dataset uses an objective  
98 constraint algorithm (Loeb et al. 2009) to adjust SW and LW TOA fluxes within their ranges  
99 of uncertainty to remove the inconsistency between average global net TOA flux and heat storage  
100 in the Earth-climate system, mostly in the oceans. CERES EBAF is used for monthly global  
101 mean validation (stability) as well as for processing error validation (regional uncertainty). The  
102 record's time span is identical to the SYN1deg product, as is the record's download location  
103 (<https://ceres-tool.larc.nasa.gov/ord-tool/>).

104 *c. HIRS OLR Daily v01r02*

105 The NOAA National Centers for Environmental Information (NCEI) provides a high quality  
106 CDR of Outgoing Longwave Radiation (OLR) (Lee et al. 2007, 2014). Level-1b all-sky data  
107 from the High-resolution Infrared Radiation Sounder (HIRS) instrument are the main input into  
108 the daily OLR record. The data record is produced by applying a combination of statistical  
109 techniques, including OLR regression, instrument ambient temperature prediction coefficients and



110 inter-satellite bias corrections. The HIRS OLR Daily data record is featured by a global coverage,  
111 a  $1^\circ \times 1^\circ$  equal-angle grid resolution, and a temporal coverage from 1979 until present. The OLR  
112 estimated from imagers' radiance observations on-board operational geostationary satellites (via  
113 the Gridsat CDR and GSIP OLR product) is incorporated to allow an accurate temporal integration  
114 of the daily mean OLR. Since polar areas (about  $60^\circ$  polewards) are not covered by geostationary  
115 observations, only HIRS observations are used to derive the daily OLR in these regions. The  
116 HIRS OLR estimation technique has been vigorously validated against the Earth Radiation Budget  
117 Experiment (ERBE) and CERES data (Ellingson et al. 1994; Lee et al. 2007). The HIRS OLR Daily  
118 data record is in this paper used for daily and monthly global mean validation (stability), as well  
119 as for processing error validation (regional uncertainty) given its high spatiotemporal resolution  
120 (combination with GEO data). In contrast to the state-of-the-art CERES products, it's available  
121 for the entire time span of the CLARA-A3 record (1979-2020), making it the main reference data  
122 record for the OLR stability. In practice, it is used to verify whether the CERES performance is  
123 maintained backward in time, i.e. during the pre-CERES era. The monthly mean OLR is calculated  
124 by temporally aggregating the daily mean OLR. The data is downloaded from the 'UMD OLR  
125 CDR Portal' (<https://olr.umd.edu/>). In figures and tables, this reference data record is also  
126 referred to as "HIRS". A potential weakness of validating with HIRS is that it's derived using the  
127 same satellites (orbits) as the AVHRR instrument.

#### 128 *d. HIRS OLR Monthly v02r07*

129 The HIRS OLR Monthly data record shares the same basic characteristics as the HIRS OLR  
130 Daily record, described in section 2c. The data record uses the Level-1b HIRS data as main  
131 input and is produced by applying the same combination of statistical techniques. However, the  
132 HIRS OLR Monthly time series is generated on a  $2.5^\circ \times 2.5^\circ$  equal-angle grid. In addition, the  
133 monthly OLR CDR is estimated from the HIRS all-sky radiance observations directly and does  
134 not use geostationary observations, which results in a better temporal coverage (no data gaps  
135 due to unavailability of geostationary satellites). This data is used to address the stability of the  
136 monthly mean CLARA-A3 OLR products, but it is not used for regional validation because of  
137 its low resolution. The data have been downloaded from the 'UMD OLR CDR Portal' (<https://olr.umd.edu/>).  
138 In figures and tables, this reference data record is referred to as "HIRS-MM".

139 *e. ERA5*

140 ERA5 is the fifth atmospheric reanalysis from ECMWF (Hersbach et al. 2020). The data  
141 record provides a physically consistent blend of forecast and observations, resulting in a spatially  
142 and temporally seamless coverage. The model consists of the Integrated Forecasting System (IFS)  
143 cycle 41r2 with a 4-D variational analysis (4DVAR) assimilation system. The output has a temporal  
144 resolution of 1 hour, and a reduced gaussian spatial grid, which is bilinearly interpolated on a regular  
145 lat/lon grid of  $0.25^\circ \times 0.25^\circ$ . The radiation scheme of ERA5 is described in Hogan and Bozzo (2018).  
146 The record's total time span is 1959-2020. Given the physical consistency throughout the record,  
147 ERA5 is selected for long-term global mean bias validation: it is useful to assess the stability of  
148 CLARA-A3's data record, especially when there is no other reference data record available, as is  
149 the case for RSF. On the other hand, ERA5 is a reanalysis product with a significant modeling  
150 component: it drastically underperforms in short-term spatially-explicit comparisons, making it  
151 not useful for processing error validation at regional scale. The data have been collected from the  
152 Copernicus Climate Data Store, available online at <https://cds.climate.copernicus.eu>.

153 *f. ISCCP-FH and Cloud-CCI*

154 The International Satellite Cloud Climatology Project FH product, or ISCCP-FH (Young et al.  
155 2018; Zhang et al. 2019), is in essence a cloud product with TOA fluxes calculated from the  
156 retrieved cloud properties using a radiative transfer model (RadH-PRD). For the cloud retrievals,  
157 ISCCP-FH uses a composite of polar and geostationary satellites. The ISCCP FH data are provided  
158 on a  $1^\circ \times 1^\circ$  lat-lon grid, and have been downloaded from [https://isccp.giss.nasa.gov/pub/flux-fh/tar-nc4\\_MPF/](https://isccp.giss.nasa.gov/pub/flux-fh/tar-nc4_MPF/).

160 Similar to ISCCP-FH, the Cloud-CCI data record (Stengel et al. 2020) is in essence a cloud  
161 product with TOA fluxes calculated from the retrieved cloud properties using the BUGSrad radiative  
162 transfer model. For the cloud retrievals, Cloud-CCI (L3C AVHRR-PM v3.0) is based purely on  
163 AVHRR afternoon satellites. The Cloud-CCI data are provided on a  $0.5^\circ \times 0.5^\circ$  lat-lon grid and  
164 have been downloaded from [https://public.satproj.klima.dwd.de/data/ESA\\_Cloud\\_CCI/CLD\\_PRODUCTS/v3.0/L3C/](https://public.satproj.klima.dwd.de/data/ESA_Cloud_CCI/CLD_PRODUCTS/v3.0/L3C/).

166 These products are only used to compare the global mean TOA flux (stability) with CLARA-A3  
167 and other data records, and to make a brief assessment of their differences. They are not used for

168 actual validation given their lower performance w.r.t. the state-of-the-art reference records CERES  
169 and HIRS.

### 170 **3. Methodology**

171 The three main uncertainty metrics discussed here are the mean bias, the stability, and the  
172 processing error (regional uncertainty) of the CLARA-A3 fluxes with respect to the reference data  
173 records.

#### 174 *a. Terminology*

##### 175 1) MEAN BIAS

176 The CLARA-A3 RSF and OLR products rely on empirical relations with CERES products, and  
177 hence their absolute radiometric level can be considered ‘tuned’ (not independent). Consequently,  
178 no attempt is done to quantify the metric in this paper. Rather than denoting the absolute radiometric  
179 error, the term ‘Mean Bias’ is here used to describe the daily mean overall bias with respect to a  
180 reference data record. It is calculated by subtracting the gridded CLARA-A3 flux from a gridded  
181 reference data record which produces a gridded bias (a ‘bias map’), from which the global spatial  
182 average is taken. Depending on the reference data record, this Mean Bias may have several causes,  
183 such as a differences in calibration, satellite instruments, time of observation, temporal sampling,  
184 etc., which all have in common that they are not random but relatively constant in time and space  
185 (although they may slowly evolve in time, e.g. drifting of satellite orbit). Because of its tuned  
186 character, and given the significant regional bias variations (leading to large compensation effects),  
187 the Mean Bias itself is considered a less meaningful ‘accuracy’ metric for the CLARA-A3 TOA  
188 flux products. However, it is still interesting to compare the CLARA-A3 mean bias with other data  
189 records, i.e. how CLARA-A3 and these other data records are scaled compared to the absolute  
190 level of the CERES products.

##### 191 2) STABILITY

192 The stability of the CLARA-A3 data record is evaluated as the maximum variation (max-min)  
193 of the global Mean Bias over a long time period (decades). A stable data record consists of a  
194 temporally systematic Mean Bias. Note that this stability is only relative to the inherent stability

195 of the reference data record. Using different reference records allows attributing observed stability  
196 problems to one of these records. Variations or discontinuities, caused by several mechanisms  
197 mentioned above for the Mean Bias (section 3a.1), should remain within acceptable limits to  
198 render the data record useful for climate monitoring purposes.

### 199 3) PROCESSING ERROR (REGIONAL UNCERTAINTY)

200 The second source of uncertainty comes from the processing of AVHRR observations into  
201 TOA fluxes. This includes the conversion of the narrowband (channel) observations (reflectances  
202 and brightness temperatures) into broadband quantities, the subsequent integration from these  
203 directional to hemispherical quantities using Angular Dependency Models (ADMs), and finally  
204 the daily and monthly temporal interpolation of these quantities (see Akkermans and Clerbaux  
205 (2021) and Clerbaux et al. (2020) for details). To quantify this error, the CLARA-A3 products  
206 are compared with similar products derived from the CERES instruments at a  $1^\circ \times 1^\circ$  spatial scale.  
207 CERES is considered as the best reference data to address this accuracy. For OLR, also HIRS is  
208 used to assess the processing error during the pre-CERES era (1979-1999). In practice, all data  
209 records are first regridded on the same nested  $1^\circ \times 1^\circ$  lat-lon grid as used for the CERES products  
210 (see section 3b). Then, the bias-corrected mean absolute value of the difference with the CERES  
211 products is evaluated. It is interesting to look at time series of the processing error, to check the  
212 consistency over the data record extent, in particular to check that the errors obtained with different  
213 satellites (different AVHRR instruments) are consistent with each other. Even after correction  
214 for the global Mean Bias (section 3a.1), the processing error still contains a considerable regional  
215 systematic component: indeed, each grid box has a surface type which is generally invariant in time  
216 (e.g. ocean, desert, ..), and in some regions also the cloud cover has a preferential state (e.g. clear  
217 sky is dominant in the Sahara desert). Therefore, scene type dependent errors can be considered  
218 regionally systematic errors. This explains the “accuracy” part of the processing error. On the other  
219 hand, there is also a random component of the processing error. For instance, errors dependent  
220 on viewing and illumination geometry (angular dependent errors). For instantaneous fluxes, or for  
221 fluxes integrated on short time scales (e.g. daily mean), these errors can be significant. On longer  
222 time scales, for a given location (grid box), these errors cancel each other out since the angles of  
223 all observations are not constant but change randomly over time. Indeed, we see that a part of the

224 processing error decreases when calculated on a longer time scale. This explains the “precision”  
225 part of the processing error, i.e. the random error. Accuracy and precision are therefore assessed  
226 together in the combined processing error, and globally integrated with the bias-corrected metric  
227 MAB, which is calculated spatially, i.e. over all the grid boxes, and for each time step (daily mean  
228 flux, monthly mean flux, ..). The processing error metric MAB is furthermore an expression of  
229 the regional uncertainty in the spatially-explicit grid of CLARA-A3 fluxes: it describes to which  
230 extent the bias deviates from its mean in the spatial dimension, i.e. how spatially homogeneous or  
231 heterogeneous the bias is (for a given temporal unit, i.e. for a given map depicting daily or monthly  
232 mean flux). The CLARA-A3 flux is provided with an uncertainty range of +/- MAB with 57.5%  
233 accuracy, assuming a Gaussian distribution.

#### 234 *b. Maps and grids*

235 Unlike validation of global means, a spatially-explicit validation (such as MAB) requires  
236 each data record to be aggregated on a common base grid, typically the coarsest one. In this  
237 paper the coarse-resolution ( $2.5^\circ \times 2.5^\circ$ ) HIRS-MM OLR Monthly v02r07 is not used for the  
238 spatially-explicit processing error analysis. All others data records were already available in (or  
239 were aggregated to) the so-called CERES Nested  $1.0^\circ$  grid ([https://ceres.larc.nasa.gov/  
240 data/general-product-info/#ceres-nested-10-processing-grid](https://ceres.larc.nasa.gov/data/general-product-info/#ceres-nested-10-processing-grid)), which was selected  
241 as common base grid.

242 Since this is an equal-angle grid, global statistical metrics (section 3c) would not represent the true  
243 spatial distribution as pixel area decreases poleward. Therefore, a meridionally varying weighting  
244 factor ( $w_j$ ), which accounts for the spatial distortion, is applied to the statistical measures, thereby  
245 in practice converting the grid to an equal-area grid. The weighting factor is normalized so that its  
246 global average equals one.

#### 247 *c. Statistical measures*

248 The retrieved daily mean CLARA-A3 flux ( $F_{CLARA}$ ) is validated against the daily mean flux from  
249 a gridded reference data record, denoted by  $F_{REF}$ . The following statistical measures are used in  
250 the validation:

251 1) BIAS DEFINED PER GRID BOX ( $B_{i,j}$ )

252 Prior to the validation, the spatial resolutions of both  $F_{CLARA}$  and  $F_{REF}$  are first downgraded to  
253 match the CERES nested processing grid (section 3b). Maps of their difference are then created  
254 (daily “bias maps”), from which a single grid box with indices ( $i, j$ ) is calculated as:

$$B_{i,j} = F_{CLARA,i,j} - F_{REF,i,j} \quad (1)$$

255 The grid box specific bias is used to calculate the other statistical measures.

256 2) MEAN BIAS (MB), DEFINED GLOBALLY

257 The global Mean Bias ( $MB$ ) is calculated over all grid boxes’ biases as follows:

$$\begin{aligned} MB &= \frac{1}{m \cdot n} \cdot \sum_{i=1}^m \sum_{j=1}^n w_j \cdot B_{i,j} \\ &= \frac{1}{m \cdot n} \cdot \sum_{i=1}^m \sum_{j=1}^n w_j (F_{CLARA,i,j} - F_{REF,i,j}) \end{aligned} \quad (2)$$

258 Where  $B_{i,j}$  is the grid box specific bias,  $m$  and  $n$  are the number of grid boxes in longitude (360)  
259 and latitude (180) dimension, and  $w_j$  is a meridionally varying weighting factor to correct the  
260 equal-angle to an equal-area grid (see section 3b). The MB statistic is used in this paper to validate  
261 the stability of the global bias.

262 3) MEAN ABSOLUTE BIAS (MAB), BIAS-CORRECTED, DEFINED GLOBALLY

263 The global Mean Absolute Bias ( $MAB$ ) is calculated by first subtracting the global Mean Bias  
264 from every grid box’ bias ( $B_{i,j} - MB$ ), which corrects for the general bias. Subsequently, the  
265 absolute value is taken from the result, after which a global average is calculated in the same way  
266 as done for the global mean bias.

$$\begin{aligned}
MAB &= \frac{1}{m \cdot n} \cdot \sum_{i=1}^m \sum_{j=1}^n w_j |B_{i,j} - MB| \\
&= \frac{1}{m \cdot n} \cdot \sum_{i=1}^m \sum_{j=1}^n w_j |F_{CLARA,i,j} - F_{REF,i,j} - MB|
\end{aligned} \tag{3}$$

267 The MAB statistic is used in this paper to validate the processing error (regional uncertainty).  
268 Assuming normality, the range between +/-1 MAB contains roughly 57% of the data, and the range  
269 between +/- 2 MAB contains roughly 89% of the data.

#### 270 *d. Missing data and gap filling*

271 Spatial and temporal gaps in CLARA-A3 are caused by a variety of reasons, discussed extensively  
272 in CMSAF (2022) (e.g. missing data in FDR, auxiliary input data,..). For specific periods, this  
273 may significantly impact the calculation of global mean values, leading to unrealistic time series  
274 of global mean TOA fluxes. This is avoided by filling these gaps with ERA5 fluxes, which have  
275 the advantage of full spatial and temporal coverage. Tests have shown that this gap-filling has very  
276 little effect on the validation results with bias and MAB (more details in CMSAF (2022)).

#### 277 *e. CLARA-A3 orbital configuration and temporal data visualization*

278 The orbital constellation of AVHRR-carrying satellites is not constant but varies in time regard-  
279 ing the number of satellites, and regarding their respective local Equator Crossing Time (ECT).  
280 This is referred to as the “orbital configuration”, which determines the temporal coverage of the  
281 observations throughout the day (density and spread of observations) for a given location. A single  
282 satellite observes a given location at the equator every 12 hours, i.e. two times per day (ascending  
283 and descending node), from which one during daylight conditions (‘daytime’) as illustrated in  
284 Figure 1 (useful for both RSF and OLR), and the other during nighttime, i.e. between 18h and 06h  
285 local time (only useful for OLR).

286 The satellites are launched on certain typical time slots, historically these are the morning orbit  
287 (around 7h30 ECT at launch) and the afternoon orbit (around 14h00-14h30 ECT at launch). Over  
288 time, they each tend to slowly drift towards the terminator, i.e. the morning orbit towards an

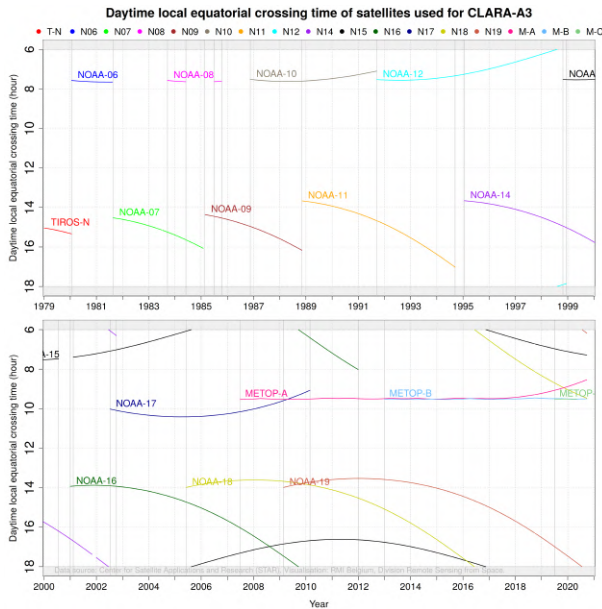


FIG. 1. Daytime local equator crossing time of satellites used for CLARA-A3

289 earlier ECT whereas the afternoon orbit towards a later ECT. It is worth mentioning here that this  
 290 historical configuration was not symmetrical around noon (12h ECT), i.e. the morning orbit is  
 291 always closer to the terminator compared to the afternoon orbit.

292 For some periods in the record, there is only one orbit available, either morning or afternoon. This  
 293 limited temporal coverage is referred to as “suboptimal orbital configuration”, as only a part of the  
 294 day is covered. Note that it is not a binary issue: even in an orbital configuration with 2 satellites,  
 295 the temporal coverage can be downgraded when one of the orbits has strongly drifted towards the  
 296 terminator, thereby gradually resembling more and more a suboptimal orbital configuration.

297 The vertical solid gray lines in Figure 1 indicate transitions (discontinuities) in the orbital  
 298 configuration, which often correspond to changes in (local) time of observation (i.e., ECT). These  
 299 lines are included in all the temporal plots of this paper, and an overview of all these transitions is  
 300 provided in Table 1.

#### 303 4. Results for Reflected Solar Flux (RSF)

##### 304 a. Mean bias and stability

305 As an illustration, the average CLARA-A3 RSF during 1979-2020 is shown in Figure 2.



Date (start)	Date (end)	Satellite(s)	Orbital configuration
<b>1979-01-01</b>	<b>1980-01-20</b>	<b>T-N</b>	<b>Aft. (=subopt.)</b>
<b>1980-01-20</b>	<b>1981-08-19</b>	<b>N-6</b>	<b>Mor. (=subopt.)</b>
<b>1981-08-19</b>	<b>1983-09-19</b>	<b>N-7</b>	<b>Aft. (=subopt.)</b>
1983-09-19	1984-06-01	N-8,-7	Mor., Aft.
<b>1984-06-01</b>	<b>1985-02-13</b>	<b>N-7</b>	<b>Aft. (=subopt.)</b>
<b>1985-02-13</b>	<b>1985-07-01</b>	<b>N-9</b>	<b>Aft. (=subopt.)</b>
1985-07-01	1985-10-14	N-8,-9	Mor., Aft.
<b>1985-10-14</b>	<b>1986-11-17</b>	<b>N-9</b>	<b>Aft. (=subopt.)</b>
1986-11-17	1988-11-08	N-10,-9	Mor., Aft.
1988-11-08	1991-09-16	N-10,-11	Mor., Aft.
1991-09-16	1994-09-13	N-12,-11	Mor., Aft.
<b>1994-09-13</b>	<b>1995-01-20</b>	<b>N-12</b>	<b>Mor. (=subopt.)</b>
1995-01-20	1998-10-26	N-12,-14	Mor., Aft.
1998-10-26	1998-12-14	N-15,-14,-12	Mor., Aft.
1998-12-14	2000-07-22	N-15,-14	Mor., Aft.
<b>2000-07-22</b>	<b>2001-01-01</b>	<b>N-14</b>	<b>Late Aft. (=subopt.)</b>
<b>2001-01-01</b>	<b>2001-02-12</b>	<b>N-16,-14</b>	<b>Aft. (=subopt.)</b>
2001-02-12	2002-07-11	N-15,-16,-14	Mor., Aft.
2002-07-11	2020-12-31	(multiple)	Mor., Mid-Mor., Aft.

301 TABLE 1. Transitions in CLARA-A3 orbital configuration; Abbreviations: T-N (Tiros-N), N-X (NOAA-X),  
302 Aft. (Afternoon), Mor. (Morning), subopt. (suboptimal).

306 Deseasonalized time series with global monthly mean RSF from different data records are shown  
307 in Figure 3, among which CLARA-A3 RSF in orange. Deseasonalization removes the mean annual  
308 cycle and hence also annually recurring biases, which is especially important for ERA5 RSF, as it is  
309 characterized by a significant bimodal seasonal bias (largely positive around December, moderately  
310 negative and positive around respectively April and June, and a largely negative around August; see  
311 CMSAF (2022), section 10.3); however, this only works well for systematic seasonal biases (i.e.  
312 occurring persistently during every year of the record) which is typically the case for model-based  
313 reanalyses such as ERA5. The deseasonalized ERA5 time series proves to be stable and can be  
314 used to assess the stability of other data records in the pre-CERES era (1979-1999). The two major  
315 volcanic eruptions El Chichón and Pinatubo are indicated on the time series, both having a radiative  
316 impact duration of about 21 months. El Chichón’s radiative impact is estimated at +3 (CLARA-A3)  
317 and +2 (ERA5)  $Wm^{-2}$ , while Pinatubo’s impact is estimated at +5 (CLARA-A3), +4 (ERA5) and  
318 +6 (ISCCP-FH)  $Wm^{-2}$ . The volcanic eruptions led to a dramatic increase in stratospheric sulfate

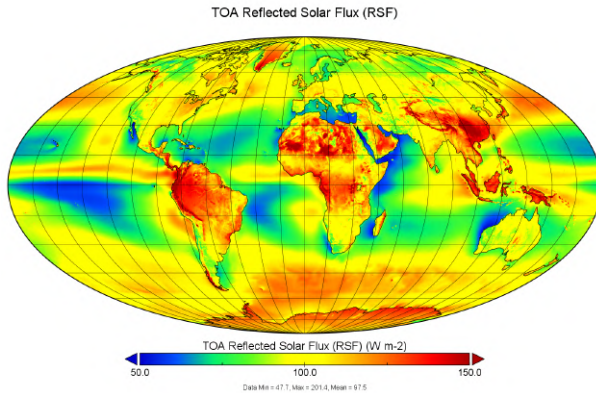


FIG. 2. Average CLARA-A3 RSF during 1979-2020

319 aerosol loading, causing a large rise in the reflection of solar radiation due to the optical properties  
 320 of sulfuric acid droplets (Canty et al. 2013). Unlike the Pinatubo event, CLARA-A3 RSF does  
 321 not properly capture the radiative impact of the El Chichón event: it features a temporary artificial  
 322 drop of  $\sim 2 \text{ W m}^{-2}$  w.r.t. ERA5 during the impact event, around January 1983, Figure 3).

323 Global mean biases are calculated by subtracting the reference data records from CLARA-A3  
 324 RSF, resulting in the time series shown in Figure 4. The overall stability of CLARA-A3 RSF is  
 325 assessed w.r.t. ERA5 (section 2e) by considering a so-called ‘stability envelope’ (target range),  
 326 set symmetrically around the (slightly negative) mean of the bias, which is normally distributed  
 327 (CMSAF 2022, section 5.1). The threshold requirement of  $4 \text{ W m}^{-2}$  cited in CMSAF (2021, p.62-  
 328 63) is selected as range for this envelope, and the overall stability remains within its limits for  
 329 94% of the time. During the CERES era (2000-2020) the CLARA-A3 RSF performance is very  
 330 good, with a mean bias w.r.t. CERES SYN close to zero for the larger part of the two decades  
 331 (red curve in Fig. 4). The largest bias fluctuations are situated in the first decade of the data  
 332 record (until 1987), where the monthly RSF bias w.r.t. ERA5 (black curve) approaches or exceeds  
 333 the edges of the stability envelope, but there are also some isolated peaks in later years (1994-  
 334 ‘95, 1999, 2000). These biases are predominantly caused by CLARA-A3’s “suboptimal orbital  
 335 configuration” (section 3e): Incomplete temporal coverage of regional climate phenomena with  
 336 an asymmetric diurnal cycle (e.g. marine stratocumulus thinning, land convection,..) introduces  
 337 strong regional biases, from which the sign (positive or negative) depends on the region and  
 338 observation time (morning, afternoon). Globally averaged, these biases vary seasonally because of  
 339 the hemispherical imbalance of the associated regional climate feature’s occurrence and strength.

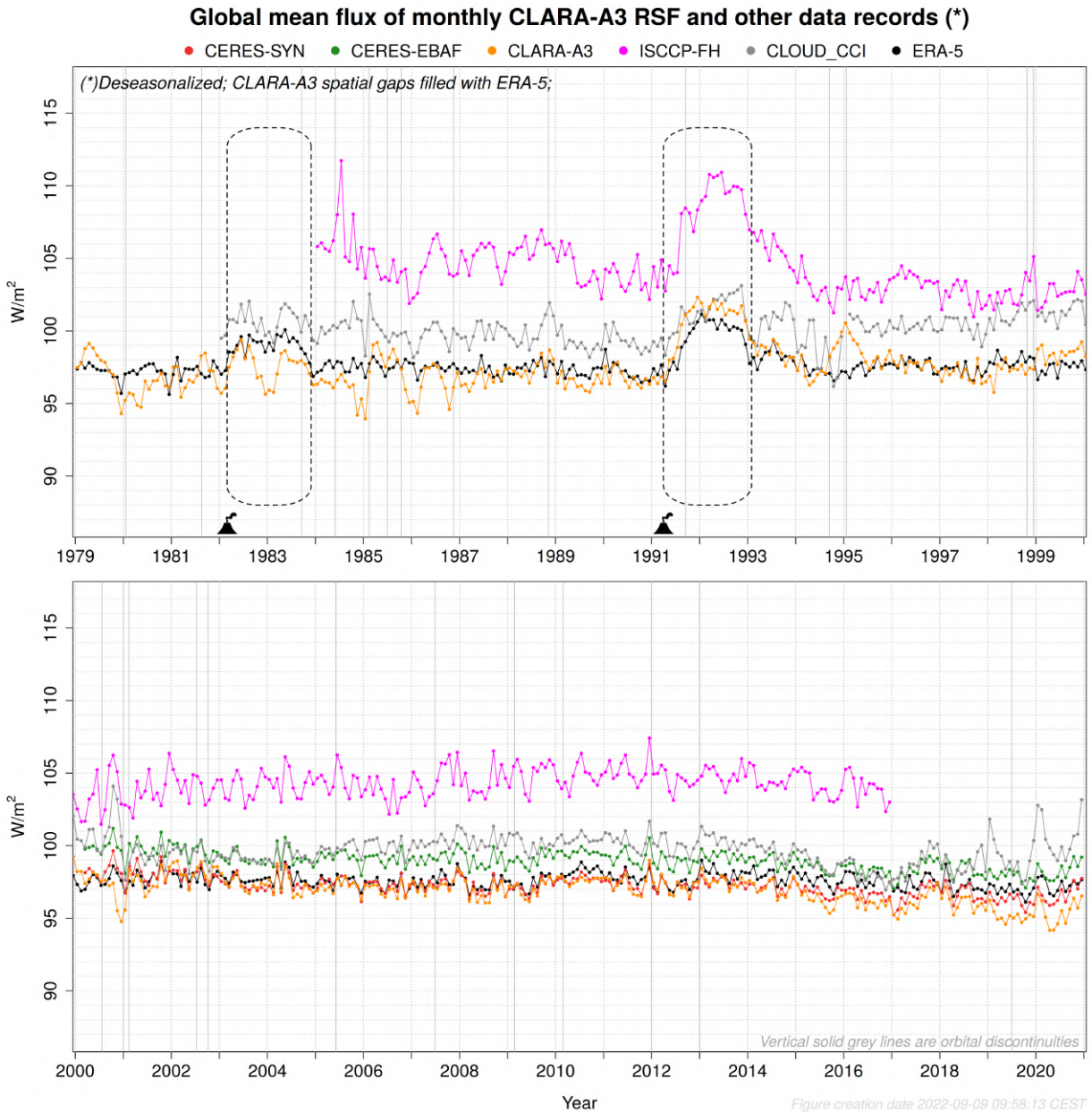
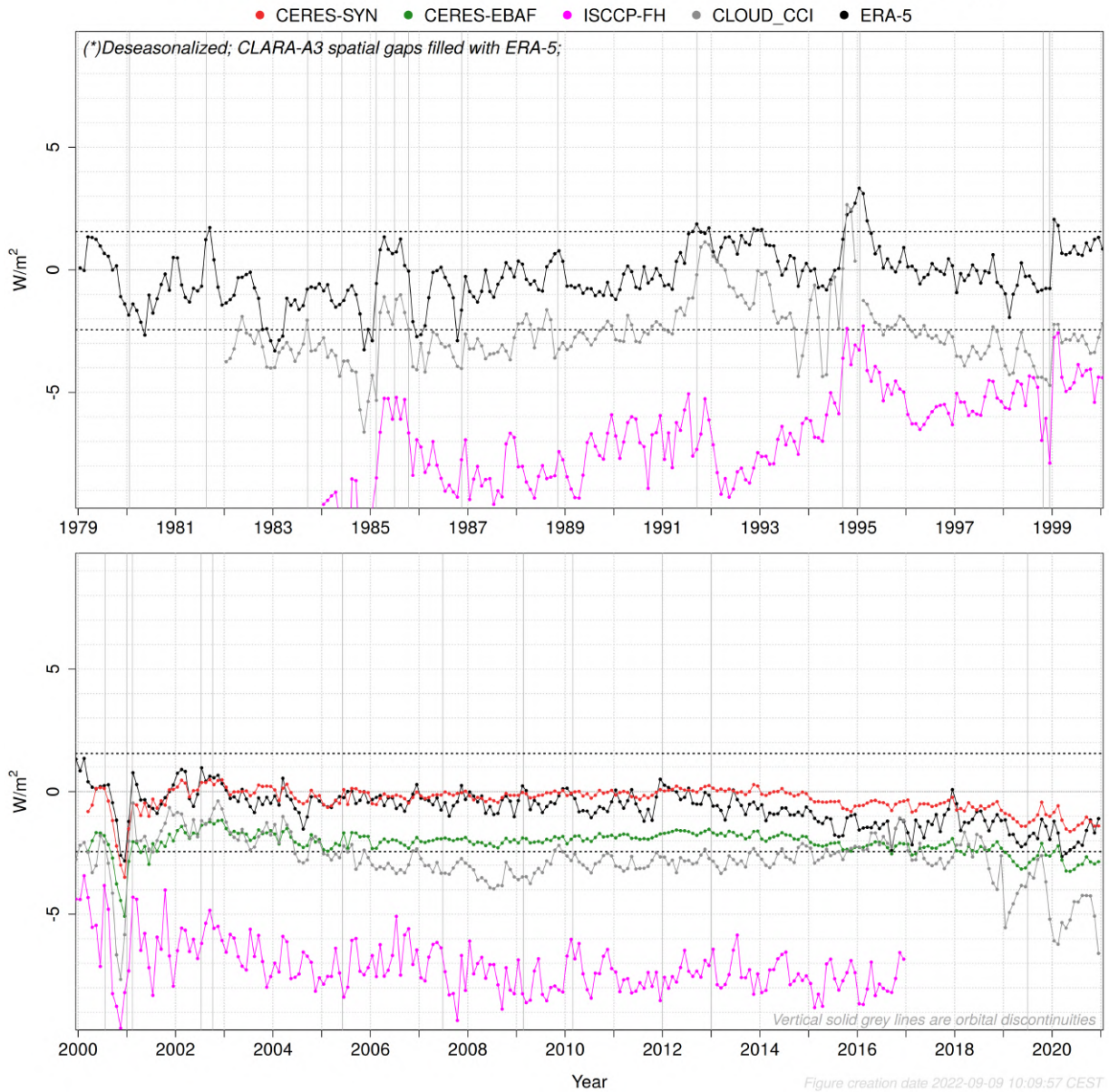


FIG. 3. Deseasonalized global mean flux of monthly CLARA-A3 RSF (in orange) and other data records.

340 As a result, it introduces a seasonally varying global mean bias during years with suboptimal orbital  
 341 configuration (Table 1), which, in contrast to ERA5 biases, is not removed after deseasonalization,  
 342 given its limited time span w.r.t. the entire data record's duration. The suboptimal configuration  
 343 with only afternoon satellites (Table 1) is characterized by a unimodal seasonal bias (negative  
 344 between November-February and positive between April-July), which causes the CLARA-A3 RSF

### Global mean bias of monthly CLARA-A3 RSF w.r.t. other data records (\*)



347 FIG. 4. Deseasonalized global mean bias of monthly CLARA-A3 RSF w.r.t. other data records. Dotted lines  
 348 indicate a stability envelope of  $4 Wm^{-2}$  around the bias w.r.t. ERA5.

345 radiative effect of the El Chichón event to be not well represented (cfr. the drop in CLARA-A3  
 346 RSF of about  $\sim 2 Wm^{-2}$  w.r.t. ERA5 around January 1983 in Figures 3 and 4).

349 In the period of the Pinatubo eruption, between April 1991 and January 1993 (Figures 3 and  
 350 4), the bias between CLARA-A3 RSF and ERA5 increases by more than  $+1 Wm^{-2}$  compared to

351 the period before and after. Here it probably concerns a bias in the ERA5 reanalyses, in which an  
352 underestimation of the prescribed aerosol optical depth would explain an underestimated RSF.

353 A slight downward trend in CLARA-A3 RSF of about  $-1 \text{ Wm}^{-2}$  can be noticed between 2015-  
354 2020, which is caused by a trend in one of the satellites' Level-1 data record (Metop-B). It should  
355 be noted that MetOp-B was not well characterized because of its limited historic record when the  
356 FDR was generated. At that time, it was difficult to predict such a degradation and anticipate its  
357 future calibration parameters.

358 In absolute terms, it is not surprising that CLARA-A3 is close to CERES-SYN1deg (red curve  
359 in Figure 4) given the empirical relations between AVHRR and CERES that were first established  
360 offline (Akkermans and Clerbaux 2020) and then used to derive CERES-like broadband quantities  
361 in the data record's processing (Akkermans and Clerbaux 2021): this could be considered a kind of  
362 'tuning' or 're-calibration' of the absolute radiometric level. More importantly, this time series is  
363 relatively flat which indicates a good stability w.r.t. the CERES products. The bias with CERES-  
364 EBAF is consistently  $\sim 1.5 \text{ Wm}^{-2}$  lower (green curve in Figure 4), which can be explained by the  
365 EBAF adjustments made to comply with current estimates of the global energy imbalance. Similar  
366 to CLARA-A3 RSF, the Cloud-CCI data record is based on the AVHRR instrument, but the Cloud-  
367 CCI product shown in Figure 4 (in gray) is only based on afternoon satellites. Its overall stability is  
368 reasonable, mostly hovering around  $-2$  to  $-3 \text{ Wm}^{-2}$  w.r.t. CERES-SYN and CLARA-A3. Finally,  
369 the ISCCP-FH data record is considered the least performing, given its seemingly random and  
370 large short-term fluctuations (in the order of  $2-3 \text{ Wm}^{-2}$ ) as well as long-term instability (oscillating  
371 between  $-10$  and  $-5 \text{ Wm}^{-2}$  w.r.t. CERES-SYN and CLARA-A3).

372 The daily mean analysis is not shown here, because the biases' magnitude and fluctuations are  
373 similar and are not affected by the temporal aggregation.

#### 374 *b. Processing error (regional uncertainty)*

375 First the CERES era is discussed, i.e. the lower panel in Figure 5 (years 2000-2020). The months  
376 August 2000, June 2001 and March 2002 are not validated since the CERES products contain data  
377 gaps in those months, resulting in a total number of 247 months.

378 On average, the monthly MAB (w.r.t. CERES SYN1deg-Month) amounts  $2.3 \text{ Wm}^{-2}$  and the  
379 daily MAB (w.r.t. CERES SYN1deg-Day) amounts  $6.2 \text{ Wm}^{-2}$  (red curves in Figure 5). Much

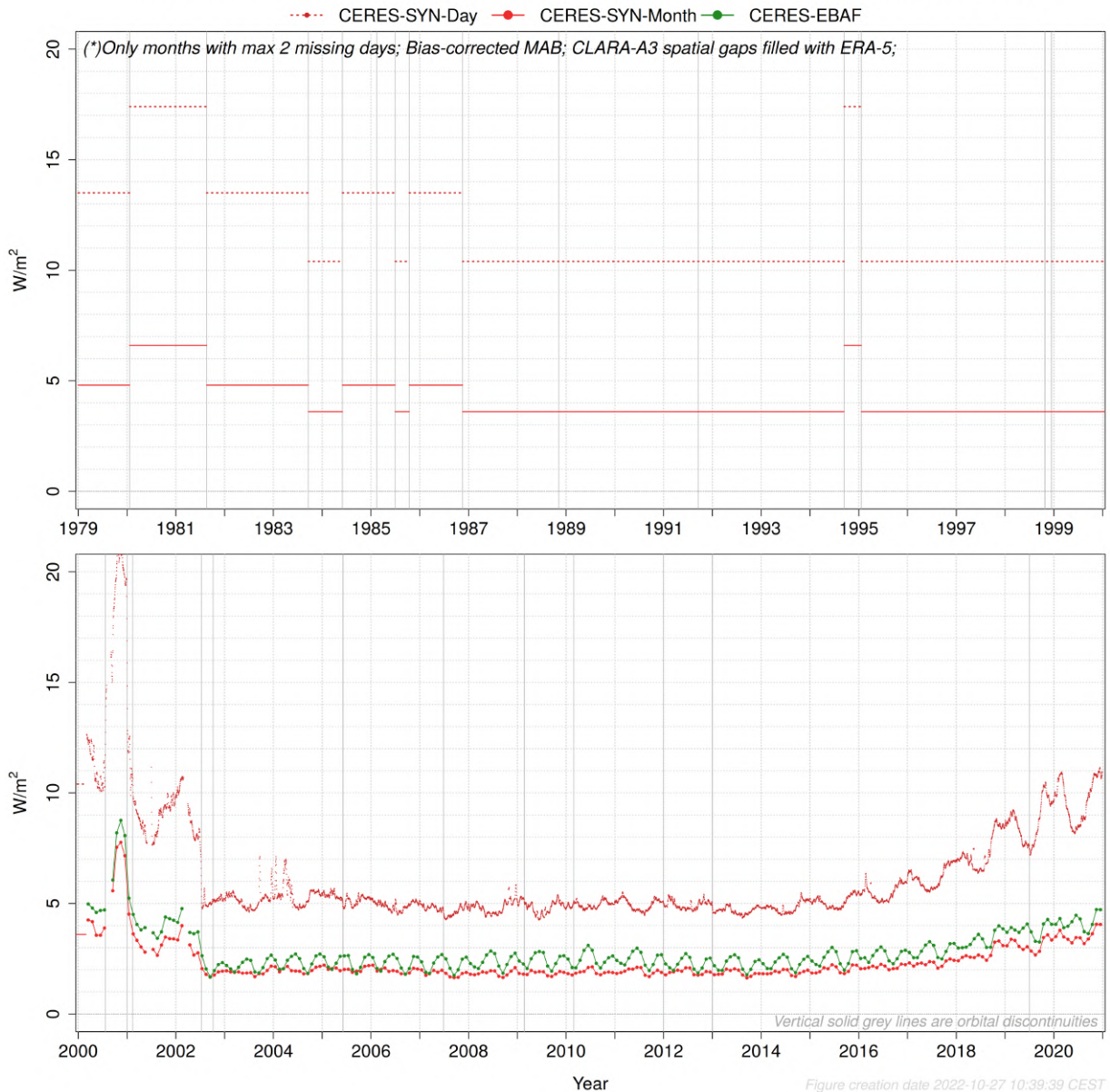


380 more than for the mean bias (section 4a), the processing error (regional uncertainty) during the  
381 CERES era is clearly related to the orbital configuration (Fig. 1). Best performance, with monthly  
382 and daily MAB around 2 and 5  $Wm^{-2}$  respectively, is obtained with a maximum number and  
383 best spread of satellite observations throughout the day, i.e. best temporal coverage (2002-2016).  
384 The gradual decrease in performance (i.e. increase of MAB) after 2016 is due to orbital drift  
385 of the afternoon satellite towards an evening orbit (without introducing a new afternoon orbit  
386 with AVHRR instrument). The first years, until halfway 2002, are characterized by a markedly  
387 higher monthly and daily MAB, and again the main reason is the orbital configuration: indeed,  
388 the mid-morning orbit is only available since mid-2002. The sharp peak during the second half of  
389 2000 represents the worst orbital configuration, being a single late afternoon orbit. The following  
390 distinct periods during the CERES era can be delineated, with MAB exhibiting large fluctuations  
391 with sharp delineations that are relatable to orbital configuration changes:

- 392 1. First half of 2000 with morning + late afternoon satellite: monthly and daily MAB of 4 and  
393 10-13  $Wm^{-2}$ , respectively
- 394 2. Second half of 2000 with a single late afternoon satellite (NOAA-14): monthly and daily  
395 MAB of 6-8 and 19-21  $Wm^{-2}$ , respectively
- 396 3. Between 2001-mid2002 with morning + afternoon satellite: monthly and daily MAB of  
397 2.5-3.5 and 8-10  $Wm^{-2}$ , respectively
- 398 4. Between mid2002-2016 with mid-morning (NOAA-17) + afternoon satellite: monthly and  
399 daily MAB of 2 and 5  $Wm^{-2}$ , respectively
- 400 5. After 2016 with midmorning and drifting afternoon satellite (NOAA-19): monthly MAB  
401 gradually increasing from 2 to 4  $Wm^{-2}$  (monthly) and from 5 to 10  $Wm^{-2}$  (daily)

406 A consistent seasonal cycle of the monthly MAB w.r.t. CERES EBAF is noticeable (green  
407 curve in Figure 5), contrary to the absence of such pattern in the MAB w.r.t. CERES SYN1deg  
408 (red curve), a discrepancy which is probably caused by a difference in the processing of CERES  
409 products. However, the latter is not entirely free from seasonality: the first and last few years  
410 of the MAB w.r.t. SYN1deg are also characterized by an increased seasonality (especially in the  
411 daily MAB), which is related to the above mentioned NOAA-19's orbital drift and the absence of  
412 NOAA-17's mid-morning orbit.

### Global MAB between daily and monthly CLARA-A3 RSF and other data records (\*)



402 FIG. 5. Global MAB between daily and monthly CLARA-A3 RSF and other data records. Daily MAB  
 403 is systematically higher than monthly MAB. The first half of the record are estimates of MAB calculated  
 404 by mimicking three typical pre-CERES orbital configurations using equivalent (in terms of ECT) CERES-era  
 405 satellites and time periods.

413 The increased MAB as well as its gradually increasing seasonality can both be explained by a  
 414 degrading temporal coverage over regions characterized by large-scale regional climate phenomena  
 415 with an asymmetric diurnal cycle (e.g. marine stratus thinning or land convection). This introduces

416 strong regional biases, which can be positive or negative, depending on the region and kind of  
417 phenomena. Furthermore, a degrading temporal coverage also introduces strong biases with  
418 fast moving small-scale or heterogeneous weather systems (e.g. fronts), typically consisting of  
419 swirls with positive alongside negative bias, caused by an extrapolation of e.g. the mid-morning  
420 observation to the afternoon (when the afternoon satellite has disappeared or drifted toward the  
421 evening), or simply put: the weather moves too fast to be accurately observed (Akkermans et al.,  
422 2021). Globally averaged together, all these biases vary seasonally because of a hemispherical  
423 imbalance of the associated regional climate features' occurrence and strength, explaining the  
424 seasonal pattern of MAB. With any degradation of the temporal coverage (orbital configuration),  
425 such as NOAA-19's orbital drift, these regional biases grow accordingly, thereby directly increasing  
426 the global MAB (Fig.5). In contrast, the global mean bias is much less sensitive and remains  
427 relatively stable and without seasonal pattern during the CERES era (cfr. red curve in Fig. 4)  
428 because of compensating negative and positive regional biases. The bias is only affected with  
429 much worse temporal coverage, prevailing mainly during the pre-CERES era (suboptimal orbital  
430 configuration).

431 In addition, it is worth mentioning that observations with low illumination conditions (high  
432 solar zenith angle), prevalent close to the terminator, lead to a larger processing error, for instance  
433 due to the increased uncertainty of scene type defining parameters (cloud mask, cloud optical  
434 thickness, cloud phase,..) which propagates as uncertainty in the narrowband-to-broadband and  
435 ADM processes. This effect is also tied to the orbital configuration, as orbital drift typically  
436 increases the average solar zenith angle for a given location.

437 Besides the common overall characteristics and features of daily and monthly MAB, the daily  
438 MAB is generally higher compared to the monthly MAB. The reason is bias compensation, on  
439 different levels and scales. Firstly, there is a temporal sampling compensation: biases caused by  
440 fast moving small-scale or heterogeneous weather systems (e.g. broken cloud fields) vary in sign  
441 from day to day, depending on the weather system's morphology and movements (direction, timing,  
442 speed..). The aggregation to a monthly mean bias smooths out this daily variability. Secondly,  
443 there are numerous error sources related to the retrieval of instantaneous TOA albedo, which are  
444 propagated to the daily mean RSF (and the less satellite observations per day, the stronger this  
445 propagation). However, averaged over 30 days many of these errors tend to cancel each other out.



446 Examples are the errors related to the ADM (viewing and illumination geometry change every  
447 day, this in contrast to geostationary observations) and errors related to scene type identification  
448 such as cloud cover and cloud properties (relevant for ADM but also for narrowband-to-broadband  
449 conversion, etc). According to the terminology outlined in section 3a, these kind of compensating  
450 errors could for a large part be considered as the random component of the processing error  
451 ('precision part'), characterized by the daily MAB, whereas the errors that are still detected in the  
452 monthly MAB could be considered the processing error's systematic component ('accuracy part').

453 Until here the MAB validation only concerns the so-called CERES era (2000-2020), roughly  
454 corresponding to the second half of CLARA-A3's data record time span. The first half of the  
455 record does not have a suitable reference data record to estimate the regional uncertainty. However,  
456 since it is clear from the second half of the record that the orbital configuration explains most of the  
457 variability, it is possible to estimate the MAB during the pre-CERES era by mimicking three typical  
458 pre-CERES orbital configurations using equivalent (in terms of ECT) CERES-era satellites and  
459 time periods. Appendix A provides the details of this theoretical exercise, from which the results  
460 can be viewed in the top panel of Figure 5 (years 1979-1999). Daily MAB for the morning-only  
461 orbital configuration is estimated at  $17.4 \text{ Wm}^{-2}$ , whereas the afternoon-only configuration at only  
462  $13.5 \text{ Wm}^{-2}$ . This difference is due to their temporal asymmetry around solar noon, i.e. the morning  
463 orbit being closer to the morning terminator than the afternoon orbit is from the evening terminator  
464 (see section 3e).

465 The result is that for the entire data record time span, the average monthly and daily MAB w.r.t.  
466 CERES-SYN1deg is estimated at 3.2 and  $9.0 \text{ Wm}^{-2}$ , respectively.

## 467 **5. Results for Outgoing Longwave Radiation (OLR)**

### 468 *a. Mean bias and stability*

469 The average CLARA-A3 OLR during 1979-2020 is shown in Figure 6.

470 The deseasonalized global monthly mean OLR from different data records is shown in Figure  
471 7, among which CLARA-A3 OLR in orange. The HIRS and ERA5 data records are stable with  
472 respect to each other, increasing the confidence in their ability to serve as stability benchmark for  
473 the other data records. Volcanically induced aerosols also trap thermal radiation, but the longwave  
474 radiative impact is lower compared to the shortwave (Canty et al. 2013), shown in section 4a, so

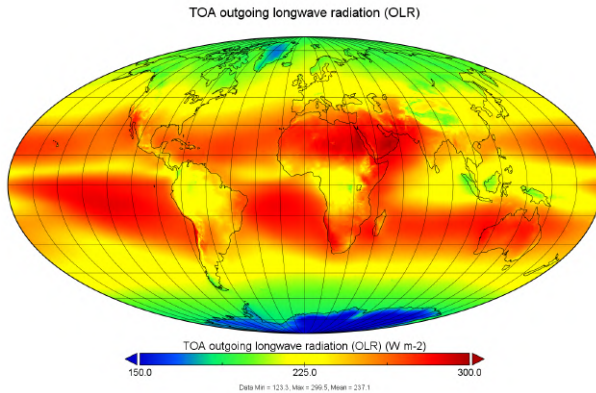


FIG. 6. Average CLARA-A3 OLR during 1979-2020

475 that the net effect is a climate cooling. The two major volcanic eruptions El Chichón and Pinatubo  
 476 are indicated on the time series in Figure 7. The El Chichón eruption has no clear impact in the  
 477 CLARA-A3 data record (but it might have caused a small drop of  $-0.5 \text{ Wm}^{-2}$  in other data records),  
 478 whereas the Pinatubo event probably caused a drop in OLR of approximately  $-1 \text{ Wm}^{-2}$ , which is  
 479 about half the assumed impact as seen in the HIRS OLR data records ( $-2 \text{ Wm}^{-2}$ ). Overall, for most  
 480 data records these radiative impacts are almost similar to many other drops and jumps in the time  
 481 series, making it difficult to assess and quantify them.

482 The global mean bias is calculated by subtracting the reference data records from CLARA-A3  
 483 OLR, resulting in the time series shown in Figure 8. The overall stability of CLARA-A3 OLR is  
 484 assessed w.r.t. HIRS (section 2c), and similar to the RSF validation, this is done using a stability  
 485 envelope with a range of  $4 \text{ Wm}^{-2}$  (i.e. the threshold requirement cited in CMSAF (2021, p.62-63)),  
 486 which is arbitrarily set to  $[-3.2 ; +0.8] \text{ Wm}^{-2}$  because the OLR bias is not normally distributed  
 487 (figure not shown), as explained in CMSAF (2022, section 6.1). The overall stability remains  
 488 within its limits for 99.6% of the time. The same results are obtained when assessing the stability  
 489 with respect to HIRS-MM (section 2d). During the CERES era (2000-2020) the CLARA-A3  
 490 OLR performance is very good, with a relatively 'flat' mean bias w.r.t. CERES SYN, with an  
 491 MAB between  $-1$  and  $0 \text{ Wm}^{-2}$  for the larger part of the two decades. Note that CERES-EBAF  
 492 is consistently  $\sim 1.5 \text{ Wm}^{-2}$  lower (green curve in Figure 8), which is explained by the EBAF  
 493 adjustments made to comply with current estimates of the global energy imbalance.

496 The first few years of the records are characterized by a distinctively more negative mean bias  
 497 compared to the rest of the record. This period corresponds to coverage from the TIROS-N and

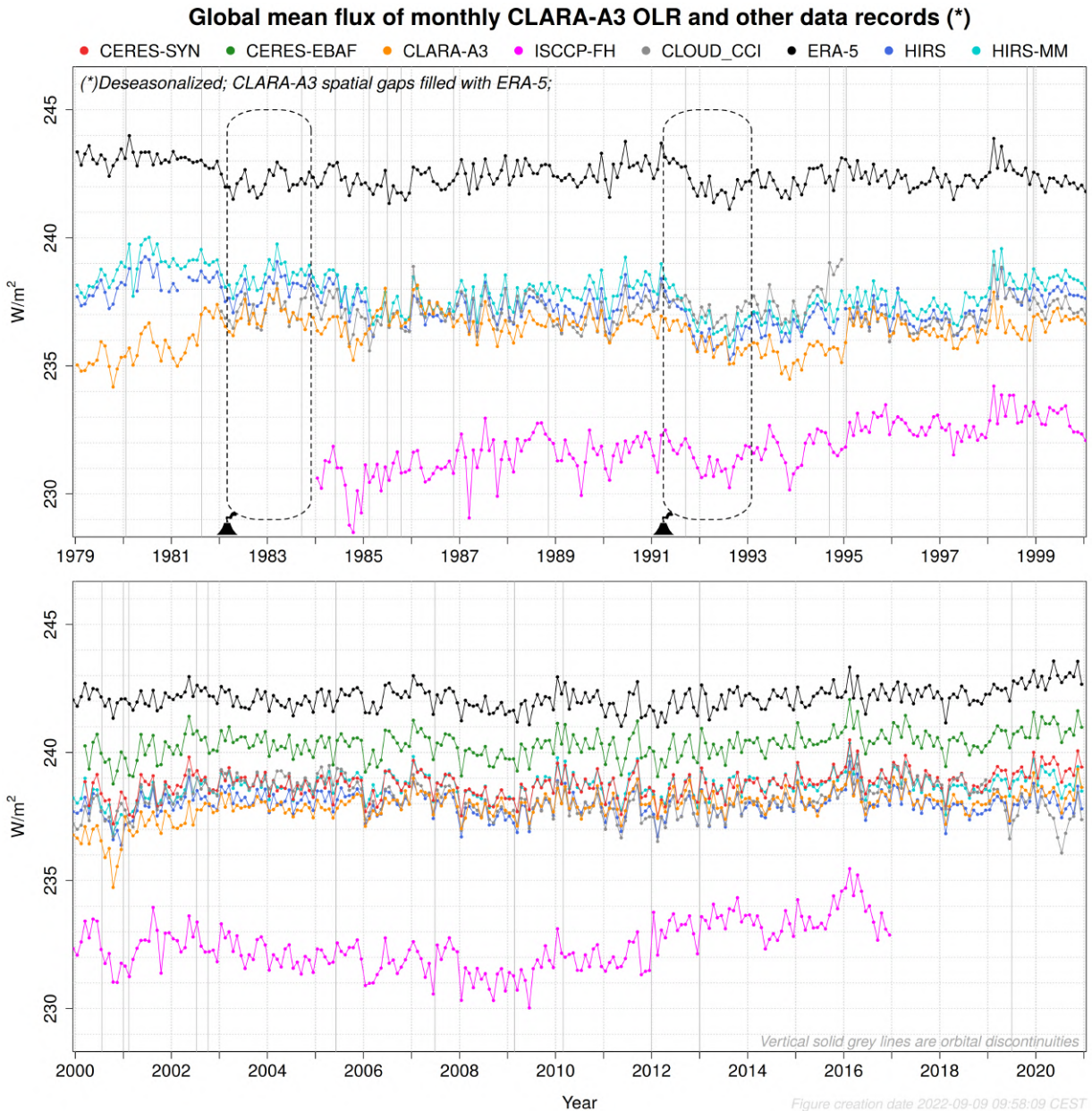
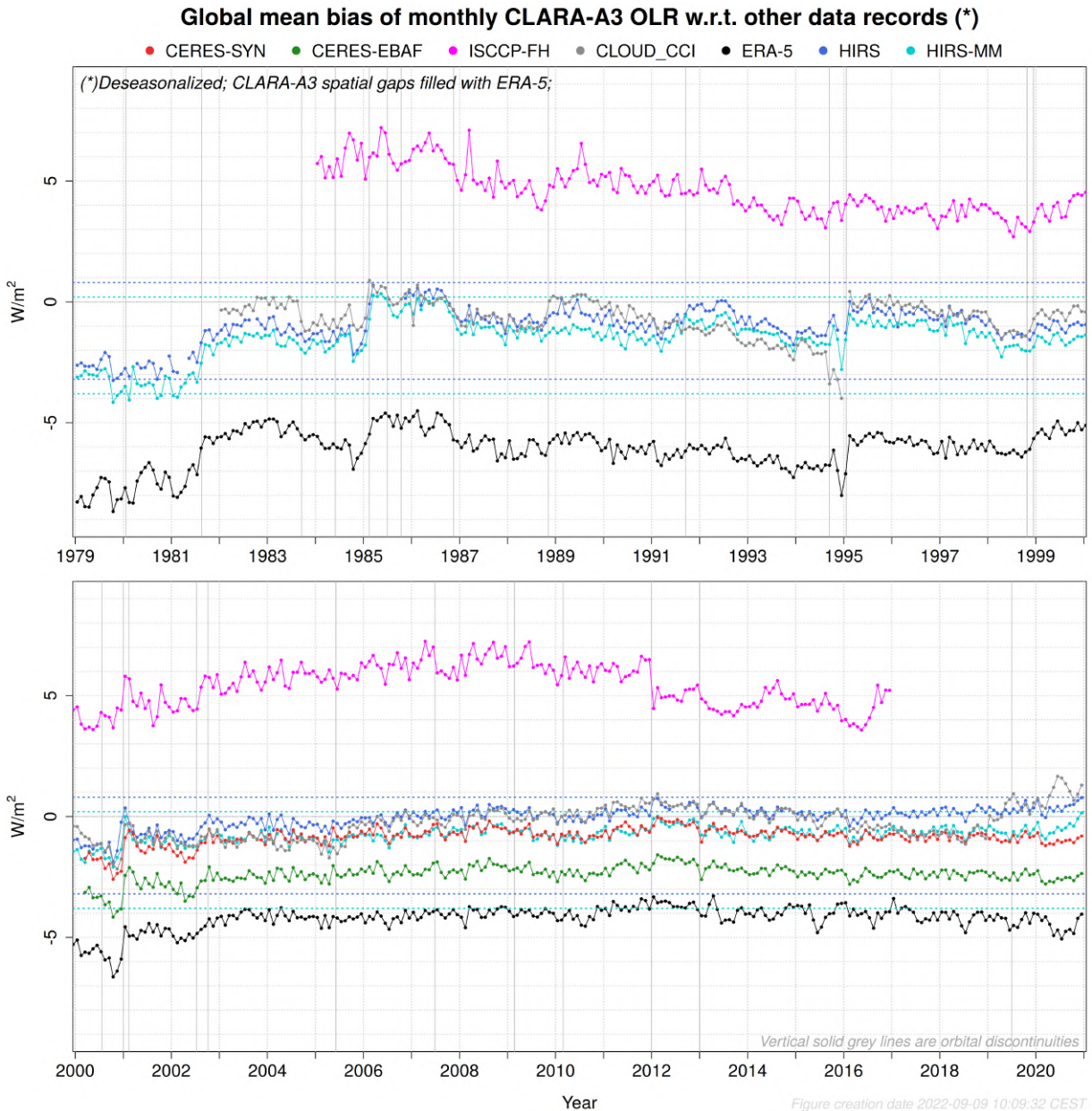


FIG. 7. Deseasonalized global mean flux of monthly CLARA-A3 OLR (in orange) and other data records.

498 NOAA-6 satellites (January 1979 - August 1981) and has an average bias of  $-2.5 \text{ Wm}^{-2}$ , which  
 499 is markedly lower than the mean bias between 1982-2002 (around  $-1 \text{ Wm}^{-2}$ ) and between 2002-  
 500 2020 (around  $0 \text{ Wm}^{-2}$ ). Additional investigations (analyses and figures not shown) exclude some  
 501 potential factors as main cause (e.g. the morning-only orbital configuration, or the fact that early  
 502 AVHRR instruments have only one thermal infrared channel), and indicate that the bias is likely due  
 503 to an issue either with the calibration of the FDR or with the spectral response correction factors.



494 FIG. 8. Deseasonalized global mean bias of monthly CLARA-A3 OLR w.r.t. other data records. Dotted lines  
 495 indicate a stability envelope of  $4 \text{ Wm}^{-2}$  around the bias w.r.t. HIRS.

504 The remaining first half of the record (1981-1999) is characterized by subtle patterns related to  
 505 orbital configuration, most notably the gradual shift towards more negative biases with increasing  
 506 ECT (orbital drift) marking distinct periods being 1985-1989, 1989-1994, and 1994-1999.

507 The daily mean analysis is not shown here, because the biases' magnitude and fluctuations are  
 508 similar to the monthly results.



509 *b. Processing error (regional uncertainty)*

510 On average, the monthly and daily MAB w.r.t. HIRS (Fig. 9) amounts  $1.8 \text{ Wm}^{-2}$  and  $4.8$   
511  $\text{Wm}^{-2}$ , respectively. The daily MAB exhibits significant fluctuations with clear delineations that  
512 are relatable to changes in orbital configuration:

- 513 1. between 1979-mid1983 and mid1984-1986 with suboptimal orbital configurations, i.e.  
514 morning-only or afternoon-only satellite: daily MAB of  $6\text{-}8 \text{ Wm}^{-2}$ ;
- 515 2. the first half of 1984, and between 1987-2002, with mostly morning+afternoon satellites:  
516 daily MAB of  $4\text{-}6 \text{ Wm}^{-2}$ , slightly varying according to orbital drift;
- 517 3. distinct peaks during 1995 and 2000: with respectively an only-early-morning and an only-  
518 late-afternoon satellite: daily MAB of around  $8 \text{ Wm}^{-2}$ ;
- 519 4. between 2002-2016 with midmorning+afternoon satellites: daily MAB of  $3.7 \text{ Wm}^{-2}$
- 520 5. after 2016 with midmorning + drifting afternoon satellite: daily MAB increasing to  $4.5 \text{ Wm}^{-2}$ .

521 Between April and October 1985 there are no valid HIRS observations, explaining the data gap  
522 in this period.

523 The underlying reasons for the dependency of OLR MAB on the orbital configuration are  
524 identical to the ones for RSF, as described in section 4b, however, the OLR is much less sensitive  
525 to it (compare Figures 5 and 9): the absence of the midmorning orbit NOAA-17 (before mid-2002)  
526 and the orbital drift of the afternoon orbit NOAA-19 (after 2016) both have only a small impact on  
527 OLR MAB ( $+0.5$  to  $+1.0 \text{ Wm}^{-2}$ ), which is quasi constant between 2002-2016 (around  $3.7 \text{ Wm}^{-2}$ ).  
528 Large degradations in orbital configurations have a bigger impact, for instance the late-afternoon-  
529 only configuration in the second half of 2000, causing the MAB to double (to  $8 \text{ Wm}^{-2}$ ); however,  
530 these impacts are still small compared to RSF, where the same degradation leads to a quadrupling  
531 of MAB (Fig. 5). There are multiple reasons for this, for instance the intra-day relative range which  
532 is much lower for OLR than for RSF, thereby lowering the impact of wrong temporal extrapolation  
533 due to suboptimal temporal coverage. Another reason is the number of observations per day, which  
534 for OLR is double (compared to RSF) because it also relies on nighttime observations, which again  
535 lowers the impact of suboptimal temporal average on the daily mean integration.

536 In contrast to the daily MAB, the monthly MAB is even less sensitive to orbital configuration,  
537 for the same reasons as outlined in section 4b. It has a quasi constant MAB of around  $1.5 \text{ Wm}^{-2}$   
538 between 2001-2020 (barely impacted by NOAA-19's orbital drift and absence of NOAA-17's  
539 midmorning orbit). On the other hand, large degradations in orbital configurations do have an  
540 impact, for instance the late-afternoon-only configuration in the second half of 2000, causing the  
541 MAB to increase to  $2.5\text{-}3.0 \text{ Wm}^{-2}$ ; also here, these impacts are still small compared to the RSF,  
542 where the same degradation leads to a quadrupling of monthly MAB (Fig. 5).

## 545 **6. Regional comparison (geographical distribution)**

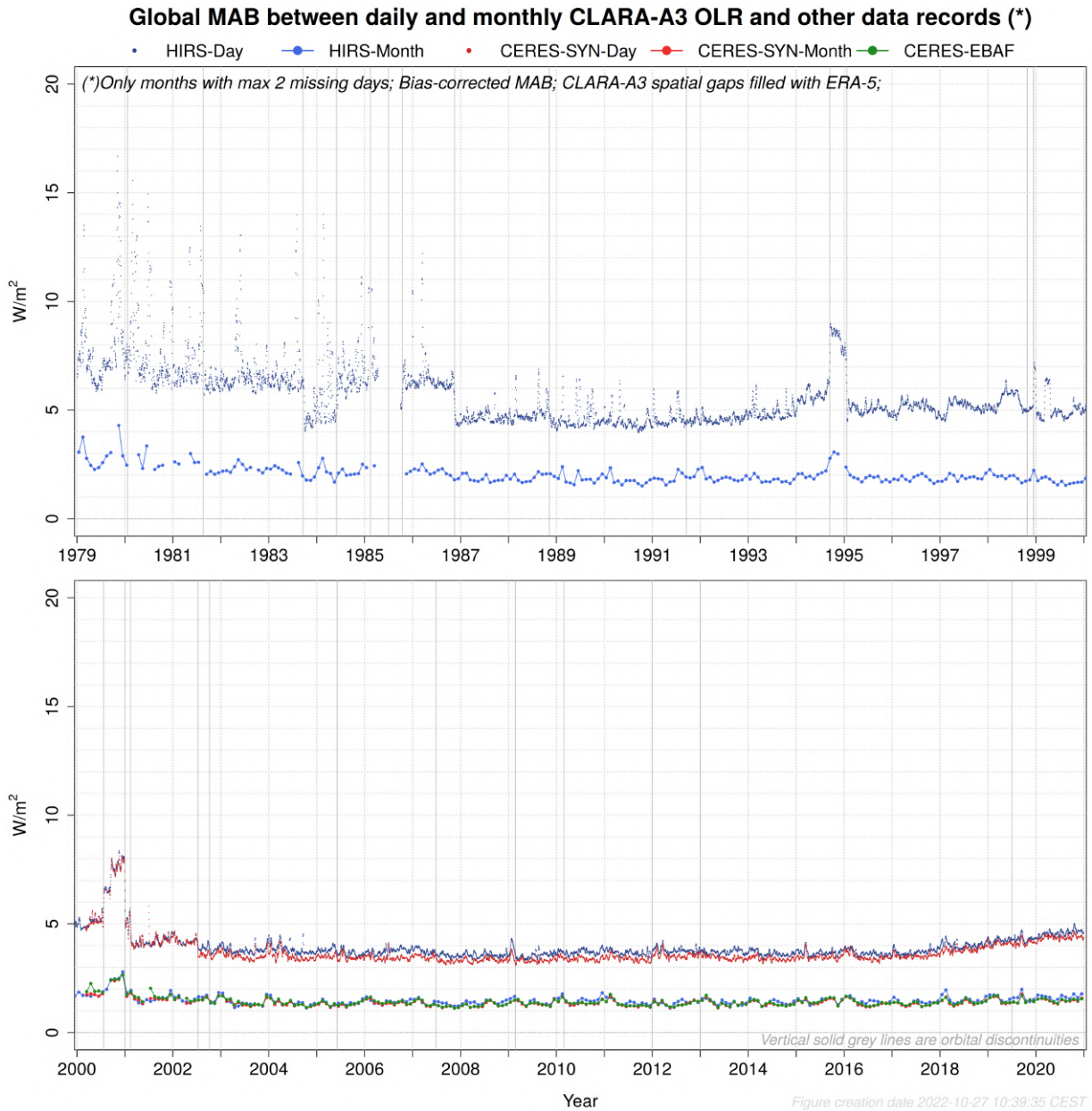
546 Although a regional analysis of the bias is beyond the scope of this paper, a bias map should  
547 provide basic confidence in its spatial distribution, for instance to verify that there are no problematic  
548 spatial differences. The 2000-2020 multi-annual mean of CLARA-A3 RSF bias w.r.t. CERES-  
549 SYN1deg is shown in Figure 10. The biases are generally relatively low in most regions (within  $\pm$   
550  $2 \text{ Wm}^{-2}$ ), with some regions showing systematically (slightly) larger biases, in both negative sense  
551 (bluish colors; e.g. ocean west of African continent, Antarctica, eastern Canada,..) and positive  
552 sense (reddish colors; e.g. non-desert African and South-East Asian land masses), possibly related  
553 to specific scene types (snow/ice, tropical forest). Overall, however, the long-term averaged bias is  
554 considered acceptably low and sufficiently homogeneous.

555 The 1979-2020 multi-annual mean of CLARA-A3 OLR bias w.r.t. HIRS OLR is shown in Figure  
556 11. The biases are generally relatively low in most regions (within  $\pm 2 \text{ Wm}^{-2}$ ), with almost no  
557 region-specific bias. Also here, the long-term averaged bias is considered acceptably low and  
558 sufficiently homogeneous.

## 559 **7. Conclusions**

560 This paper provides a first validation of the new CLARA-A3 TOA flux products, RSF and OLR,  
561 on their full temporal extent.

562 The CLARA-A3 Reflected Solar Flux data record is relatively stable as its bias w.r.t. ERA5  
563 remains within  $\pm 2 \text{ Wm}^{-2}$  for 94 % of the time. Deviations are predominantly caused by an  
564 incomplete temporal coverage (only morning or only afternoon orbit), which occurs mostly in the  
565 first decade of the record. The radiative impact of the Pinatubo volcanic eruption is estimated at



543 FIG. 9. Global MAB between daily and monthly CLARA-A3 OLR and other data records. Daily MAB is  
 544 systematically  $2\text{-}3 \text{ Wm}^{-2}$  higher compared to monthly MAB.

566  $3 \text{ Wm}^{-2}$ . The RSF processing error (regional uncertainty) correlates with orbital configuration:  
 567 best performance, around  $2 \text{ Wm}^{-2}$  for monthly MAB, is found with highest temporal coverage, i.e.  
 568 number of contributing satellite orbits and spread in their overpass time, which is optimal during

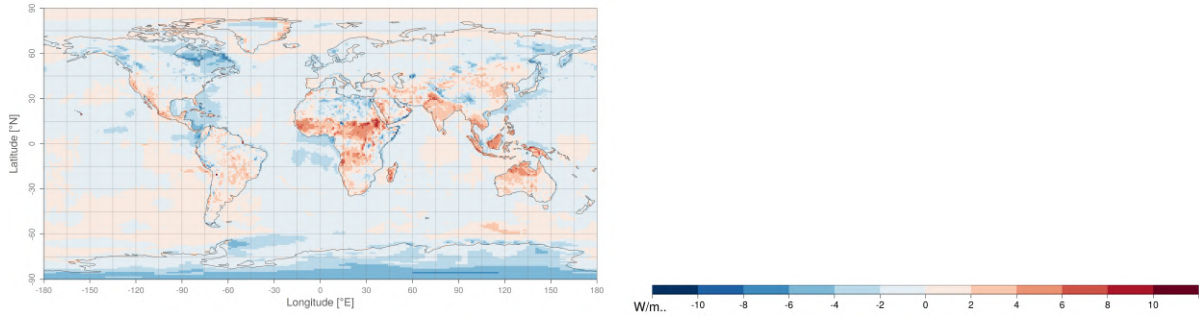


FIG. 10. Average RSF bias during 2000-2020 between CLARA-A3 and CERES-SYN1 deg

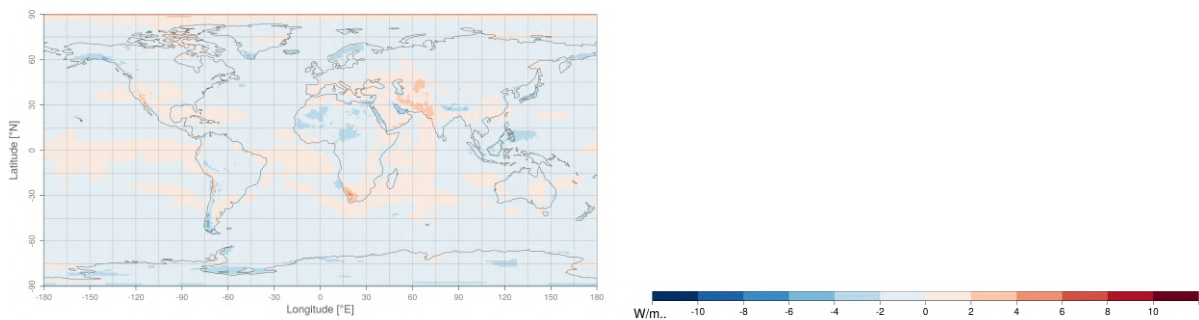


FIG. 11. Average OLR bias during 1979-2020 between CLARA-A3 and HIRS-OLR

569 2002-2016. Absence of the mid-morning orbit (before 2002) or early afternoon orbit (gradually  
 570 after 2016) leads to a drop in performance (doubling of MAB).

571 The CLARA-A3 Outgoing Longwave Radiation data record is found relatively stable w.r.t. both  
 572 ERA5 and the HIRS OLR data records, except for the first two years. Furthermore, orbital drift has  
 573 a noticeable effect on the bias during the first half of the record (1979-1999). The OLR processing  
 574 error is less sensitive to orbital configuration compared to RSF, but especially for the Daily MAB  
 575 there is still a significantly lower performance (MAB +40%) for morning-only and afternoon-only  
 576 orbits (1979-1987).

577 Overall, these validation results are satisfactory for the first edition of the flux products in the  
 578 CLARA-A product portfolio. Uncertainties inherent to the polar orbiting satellite constellation are  
 579 difficult to correct, especially for a constellation with persisting orbital drift, as is the case with  
 580 most NOAA satellites; this in contrast to the CERES products, where the constant local observation  
 581 time (equatorial overpass time) of the Aqua and Terra satellites allows for the development and im-  
 582 plementation of a fixed instantaneous-to-diurnal correction. However, some potential improvements



583 for future editions can be noted: (1) updating the currently implemented CERES Ed2 ADMs to  
584 the newest available CERES Ed4 ADMs could improve the instantaneous RSF estimation, as well  
585 as the albedo diurnal cycle models used to derive the daily mean flux. (2) the orbital drift effects  
586 of the last afternoon orbit (NOAA-19) could be solved by introducing orbits using a the VIIRS  
587 instrument, alongside the existing AVHRR-carrying orbits. (3) an update of the FDR with the  
588 newest calibration coefficients could solve calibration issues with the most recent satellites, such  
589 as MetOp-B and MetOp-C (and possibly also the two oldest, TIROS-N and NOAA-6).

590 The CLARA-A3 RSF and OLR products have unique properties, such as an unprecedented  
591 high resolution of  $0.25^\circ$  and almost double the time span of the current CERES data records.  
592 Another advantage is the flux product's synergy and compatibility with the other CLARA-A3  
593 CDRs (cloud mask and other cloud parameters, surface radiation, surface albedo, etc.) sharing  
594 common algorithms and processing chains.

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598 have been obtained from the Copernicus Climate Data Store (CDS).

599 *Data availability statement.* The CLARA-A3 documentation consists of an Algorithm Theoreti-  
600 cal Basis Document (ATBD), Validation Report (VAL) and Product User Manual (PUM), all avail-  
601 able online on the webpage [https://doi.org/10.5676/EUM\\_SAF\\_CM/CLARA\\_AVHRR/V003](https://doi.org/10.5676/EUM_SAF_CM/CLARA_AVHRR/V003),  
602 which also contains hyperlinks to the CM SAF Web User Interface (WUI) where the actual data  
603 can be freely downloaded.

## 604 APPENDIX A

### 605 **RSF processing error (regional uncertainty) during pre-CERES era (1979-1999)**

606 Three typical orbital configurations (defining observational temporal coverage of the diurnal  
607 cycle) exist in the pre-CERES (1979-1999) period of the data record: morning-only, afternoon-  
608 only, and morning+afternoon. Each of these three configurations is mimicked using a selection  
609 of CERES-era satellites which are equivalent in terms of ECT during limited time periods, an  
610 overview of which is provided in Table A1.

611 Subsequently, daily and monthly mean RSF data are generated for each of the three typical orbital  
612 configurations, each using its own associated selection of (CERES-era) satellites and limited time  
613 periods. From this, the average processing error (MAB) for each typical orbital configuration is  
614 calculated (last two columns in Table A1). Since we know the orbital configuration is the largest  
615 source of error, these numbers provide an estimate of the processing error during the pre-CERES  
616 era.

617 Hence, it is now possible to “fill” the gap in the entire data record’s accuracy time series, i.e.  
618 extending the lower panel in Figure 5 to the upper panel.

Orbital config.	Pre-CERES (1979-1999) orbital configuration		CERES-era (2000-2020) equivalent orbital configuration (similar local ECT <sup>**</sup> )			
	Satellite (*)	Duration (months)	Satellite*	Duration	MAB (W/m <sup>2</sup> )	
					MM	DM
<b>(I) Afternoon satellite only</b>	T-N	1979/01-1980/01: 13	N-16 N-18 N-19	2005/01-2006/12 2012/01-2013/12 2016/01-2017/12	Range: 4.1 - 6.3 Mean: <b>4.8</b> <8 (100%) <4 (0%) <2 (0%)	Range: 10.6 - 19.1 Mean: <b>13.5</b> <16 (88.7%) <8 (0%) <4 (0%)
	N-7	1981/09-1983/08: 24				
	N-7	1984/06-1985/01: 08				
	N-9	1985/02-1985/06: 05				
	N-9	1985/11-1986/10: 12 <b>Total: 62 months</b>				
<b>(II) Morning satellite only</b>	N-6	1980/02-1981/08: 19	N-15 N-15 N-16 N-18	2000/03-2000/07 2001/03-2001/06 2011/01-2011/12 2017/07-2018/06	Range: 5.2 - 8.6 Mean: <b>6.6</b> <8 (84.8%) <4 (0%) <2 (0%)	Range: 13.2 - 23.1 Mean: <b>17.4</b> <16 (35.3%) <8 (0%) <4 (0%)
	N-12	1994/09-1994/12: 04 <b>Total: 23 months</b>				
<b>(III) Afternoon and morning satellite only</b>	N-7/-8	1983/09-1984/05: 09	N-14/-15 N-15/-16 N-16/-18 N-18/-19	2001/03-2001/07 2004/01-2005/12 2011/01-2011/12 2017/07-2018/06	Range: 2.3 - 6.3 Mean: <b>3.6</b> <8 (100%) <4 (73.6%) <2 (0%)	Range: 6.7 - 19.0 Mean: <b>10.4</b> <16 (99.2%) <8 (15.7%) <4 (0%)
	N-9/-8	1985/07-1985/10: 04				
	N-9/-10	1986/11-1988/10: 24				
	N-11/-10	1988/11-1991/09: 35				
	N-11/-12	1991/10-1994/08: 35				
	N-14/-12	1995/01-1998/11: 47				
	N-14/-15	1998/12-2000/02: 15 <b>Total: 169 months</b>				

(\*) T=TIROS, N=NOAA; (\*\*) Equator Crossing Time

TABLE A1. Estimation of RSF uncertainty during pre-CERES era.

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